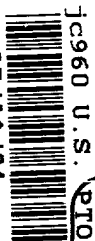


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05/23/01



PTO 09/864105



05/23/01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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Please type a plus sign (+) inside this box ☒ Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1320-050
First Inventor	David Walter Rose
Title	Surface-Profiling...Therefor
Express Mail Label No.	EL721134449US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **31**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]
5. Oath or Declaration [Total Pages **2**]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: ~~Check for \$728 filing fee;~~  
~~Check for \$40 recordation;~~

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Lowell W. Gresham				
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	5727 N. Seventh Street, Suite 409				
City	Phoenix	State	Arizona	Zip Code	85014
Country	United States	Telephone	602/274-6996	Fax	602/274-6970

Name (Print/Type)	Lowell W. Gresham	Registration No. (Attorney/Agent)	31,165
Signature	<i>Lowell W. Gresham</i>	Date	23 May 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

In the application of: David Walter Rose

Serial No.:

Filed: Herewith

For: **SURFACE-PROFILING SYSTEM AND METHOD THEREFOR**ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

The filing fee has been calculated as shown below

	Number Filed			Number Extra
Basic Fee				
Total	21	Minus	20	= 1
Indep	3	Minus	3	= 0
_____ First Presentation of Mult. Dep. Claims				

## Small Entity

RATE	Fee
	\$ 355
X 9	\$ -0-
X 40	\$ -0-
X 135	\$ -0-
Total	\$ -0-

Other than  
a Small  
Entity

RATE	Fee
	\$ 710
x 18	\$ 18
x 80	\$ -0-
x 270	\$ -0-
or Total	\$ 728

☒ A check in the amount of \$728.00 is enclosed☒ A duplicate copy of this transmittal sheet is enclosed.DATED: 23 May 2001

Respectfully Submitted.

Lowell W. Gresham  
Attorney for Applicant(s)  
Reg. No. 31,165

T02220-05049860

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Walter Rose,

Serial No.:

Filed: HEREWITH

For: **SURFACE-PROFILING SYSTEM AND METHOD THEREFOR**

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**CERTIFICATE OF MAILING BY EXPRESS MAIL**

"Express Mail" mailing label number EL721134449US  
Date of Deposit 23 May 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence, consisting of this Certificate of Mailing by Express Mailing, Application Transmittal Form; Fee Transmittal Form (in duplicate); Declaration and Power of Attorney; Patent Application (31 sheets); Three (3) sheets of drawings and three (3) copies of same; Information Disclosure Statement, w/PTO Form-1449 and copies of references cited; Assignment; Assignment Recordation Cover Sheet; Check in the amount of \$728.00 for filing fee, Check in the amount of \$40.00 for recordation fee; and a Postcard is being deposited in the United States Postal Service as Express Mail in an envelope addressed to:

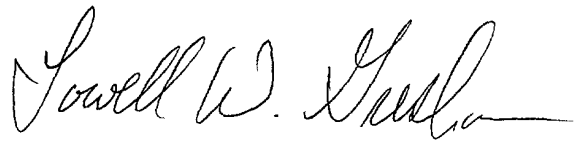
Box Patent Application  
Assistant Commissioner for Patents  
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on

23 May 2001  
Date

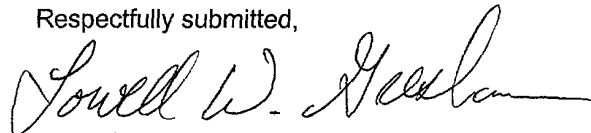
23 May 2001

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602-274-6996



Signature

Respectfully submitted,



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0984103-05301